



Ethical Sourcing Audit

Current Audit Score:

Needs Improvement

Current Audit Date:

September 7, 2017

Vendor name	THERAPEARL LLC				
WIC#	169421, 214566, 945686, 169419				
Factory name	SHANGHAI CHUANGSHI INDUSTRY CO., LTD.				
Factory address	No. 388, Zhang Lian Tang Road, QingPu District, Shanghai, China				
Factory city	Shanghai				
Factory province / state	Shanghai				
Factory country	China				
Current Audit date	September 7, 2017	Audit on Record (Date)	December 12, 2016	Audit on Record (Date)	NA
Current Audit Score	Needs Improvement	Audit on Record (Score)	Opportunity for Improvement	Audit on Record (Score)	NA
Current Audit Type	Annual Audit	Audit on Record (Type)	Annual Audit	Audit on Record (Type)	NA
Current Monitoring Firm	UL	Audit on Record (Firm)	UL	Audit on Record (Firm)	NA
Auditor(s)	Chocoleen LIN				

Area of improvement (English)	Area of improvement (Local language)	Local Law / UL Standard / COC
At least 10 empty chemical containers are exposed to the element.	至少10个化学品空桶直接露天存放。	Minimum Recommendations
Three viewed electrical switches were not labeled with functions.	看到3个控电箱开关没有标示功能。	Code of Conduct
One medicine in first aid kit of the canteen are expired since August 2017.	食堂药箱内有一样药品于2017年8月过期。	Code of Conduct
The eye wash station provided in the chemical warehouse which stored large amount of glycerin was improper. This kind of eye wash equipment is not easily and quickly operated and the water quality inside cannot be ensured.	存放大量甘油的化学品仓库提供的洗眼器不恰当。该类型洗眼器不能符合简单快捷操作的原则且内部的水质无法得到保证。	Minimum Recommendations
Monthly overtime exceeding 36 hours was detected for all sampled employees of April 2017, June 2017 and July 2017, with the maximum monthly overtime up to 86, 84 and 72 hours respectively.	2017年4月、6月和7月，所有抽样员工月加班超过36小时，最长月加班时间分别为86，84和72小时。	PRC Labor Act (1995), Article 41

Note to Audit Team: Please type names and dates into file after document is signed before submission.

Factory representative	Ms. HE Yan	Auditor(s)	Chocoleen LIN
Signature		Signature(s)	
Date	September 7, 2017	Date	September 7, 2017
CAPA Responder Information			
Vendor			
CAPA Contact 1	First name	not provided	
	Family name	Conor	
	Title	not provided	
	E-mail address	conor@therapearl.com	
CAPA Contact 2	First name	Katrina	
	Family name	Kreider	
	Title	Complaince Manager	
	E-mail address	kkreider@performancehealth.com	
CAPA Contact 3	First name	N/A	
	Family name	N/A	
	Title	N/A	
	E-mail address	N/A	
Factory			
CAPA Contact 1	First name	Yan	
	Family name	HE	
	Title	QC Manager	
	E-mail address	yan.he@csigroup.com.cn	
CAPA Contact 2	First name	Juanli	
	Family name	FANG	
	Title	Sales	
	E-mail address	shally@csigroup.com.cn	
CAPA Contact 3	First name	N/A	
	Family name	N/A	
	Title	N/A	
	E-mail address	N/A	